



PATENT  
Our Docket: P-CJ 1192

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: )  
Gregory et al. )  
Serial No.: 08/328,673 )  
Filed: October 25, 1994 )  
For: RECOMBINANT ADENOVIRAL )  
VECTOR AND METHODS OF )  
USE )

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 1/25/96

By Paul C. Steinhardt  
Paul C. Steinhardt, Reg. 30,806  
Jan 25, 1996

Date of Signature

Asst. Commissioner for Patents  
Washington, D.C. 20231  
ATTENTION: ASSIGNMENT DIVISION  
BOX ASSIGNMENTS

Sir:

**COMMUNICATION**

This Communication is submitted to request correction of typographical errors on the attached Notice of Recordation of Assignment Document received in our office January 9, 1996, in reference to the above-identified application.

Enclosed are the original documents: Notice of Recordation; Recordation Form Cover Sheet; and Assignment. Also enclosed is a copy of Correction of Filing Receipt filed with the Patent and Trademark Office on December 18, 1995. The following corrections are requested on the Notice of Recordation of Assignment Document: FILING DATE should read "10/25/94".

Respectfully submitted

Paul C. Steinhardt

Paul C. Steinhardt  
Registration No. 30,806  
Telephone: (619) 535-9001  
Facsimile: (619) 535-8949

CAMPBELL AND FLORES  
4370 La Jolla Village Drive  
Suite 700  
San Diego, California 92122



DECEMBER 27, 1995

PTAS

CAMPBELL AND FLORES  
CATHRYN CAMPBELL  
4370 LA JOLLA VILLAGE DRIVE, SUITE 700  
SAN DIEGO, CA 92122

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



\*100055531A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/13/1995

REEL/FRAME: 7591/0355  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GREGORY, RICHARD J.

DOC DATE: 11/23/1994

ASSIGNOR:

WILLS, KEN N.

DOC DATE: 11/23/1994

ASSIGNOR:

MANEVAL, DANIEL C.

DOC DATE: 11/23/1994

ASSIGNEE:

CANJI, INC.  
3030 SCIENCE PARK ROAD  
SUITE 302  
SAN DIEGO, CALIFORNIA 92121

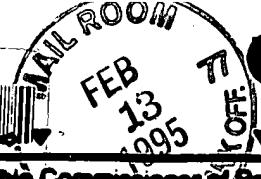
SERIAL NUMBER: 08328673

FILING DATE: 02/13/1995  
ISSUE DATE:

PATENT NUMBER:

RECEIVED  
JAN 09 1995  
CAMPBELL & FLORES

SEDLEY PYNE, EXAMINER  
ASSIGNMENT DIVISION  
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FORM 1-1000  
1-91-42 3-16 010

09-01-1995

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office40-581  
AHD

100055531

Attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Richard J. Gregory  
Ken N. Wills  
Daniel C. Maneval

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other

Execution Date: November 23, 1994  
 November 23, 1994  
 November 23, 1994

## 2. Name and address of receiving party(ies):

Name: CANJI, Inc.

Internal Address: \_\_\_\_\_

Street Address: 3030 Science Park Road

Suite 302

City: San Diego State: CA ZIP: 92121

Additional name(s) & address(es) attached?  Yes  No

## 4. Application number(s) or patent number(s): 08/328,673

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

08/328,673

## B. Patent No.(s)

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathryn Campbell

Internal Address: \_\_\_\_\_

Street Address: Campbell and Flores

4370 La Jolla Village Drive, Ste. 700

City: San Diego State: CA ZIP: 92122

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$ 40.00

 Enclosed Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

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1 581 40.00 CK

## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul C. Steinhardt, Reg. No. 30,806

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 5

# Guidelines for Completing Patents Cover Sheets

Cover Sheet information must be submitted with each document to be recorded. If the document to be recorded concerns both patents and trademarks, separate patent and trademark cover sheets, including any attached pages, for continuing information, must accompany the document. All pages of the cover sheet should be numbered consecutively, for example, if both a patent and trademark cover sheet is used, and information is continued on one additional page for both patents and trademarks, the pages of the cover sheet would be numbered from 1 to 4.

## Item 1. Name of Conveying Party(ies).

Enter the full name of the party(ies) conveying the interest. If there is insufficient space, enter a check mark in the "Yes" box to indicate that additional information is attached. The name of the additional conveying party(ies) should be placed on an attached page clearly identified as a continuation of the information in Item 1. Enter a check mark in the "No" box, if no information is contained on an attached page.

## Item 2. Name and Address of Receiving Party(ies).

Enter the name and full address of the first party receiving the interest. If there is more than one party receiving the interest, enter a check mark in the "Yes" box to indicate that additional information is attached. Enter a check mark in the "No" box, if no information is contained on an attached page.

## Item 3. Nature of Conveyance.

Place a check mark in the appropriate box describing the nature of the conveying document. If the "Other" box is checked, specify the nature of the conveyance. Enter the execution date of the document. It is preferable to use the name of the month, or an abbreviation of that name, in order that confusion over dates is minimized.

## Item 4. Application Number(s) or Patent number(s).

Indicate the application number(s), and/or patent number(s) against which the document is to be recorded. National application numbers must include both the series code and the serial number; and international application numbers must be complete, e.g., 07/123,456 for national application numbers, and PCT/US91/12345 for international application numbers. Enter a check mark in the appropriate box: "Yes" or "No" if additional numbers appear on attached pages. Be sure to identify numbers included on attached pages as the continuation of Item 4.

## Item 5. Name and Address of Party to whom correspondence concerning the document should be mailed.

Enter the name and full address of the party to whom correspondence is to be mailed.

## Item 6. Total Applications and Patents Involved.

Enter the total number of applications and patents identified for recordation. Be sure to include all applications and patents identified on the cover sheet and on additional pages.

## Block 7. Total Fee Enclosed.

Enter the total fee enclosed or authorized to be charged. A fee is required for each application and patent against which the document is recorded.

## Item 8. Deposit Account Number.

Enter the deposit account number to authorize charges. Attach a duplicate copy of cover sheet to be used for the deposit charge account transaction.

## Item 9. Statement and Signature.

Enter the name of the person submitting the document. The submitter must sign and date the cover sheet, confirming that to the best of the persons knowledge and belief, the information contained on the cover sheet is correct and that any copy of the document is a true copy of the original document. Enter the total number of pages contained in the cover sheet, including any attached pages containing information continued from items on the cover sheet.

JOINT

ASSIGNMENT

This Assignment is made by **Richard J. Gregory of Carlsbad, California, Ken N. Wills of Encinitas, California, and Daniel C. Maneval of San Diego, California**, Assignors, to **Canji, Inc.**, Assignee, having a place of business at 3030 Science Park Road, Suite 302, San Diego, California 92121.

WHEREAS, Assignors have invented a new and useful **RECOMBINANT ADENOVIRAL VECTOR AND METHODS OF USE** for which an application for United States Letters Patent was filed on **October 25, 1994**, in the United States Patent and Trademark Office, bearing Serial No. **08/328,673** and identified as Attorney Docket No: **P-CJ 1192**;

WHEREAS, Assignors believe themselves to be the original inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors sell, assign, and transfer to Assignee, the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to

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Filed: October 25, 1994  
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which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents, and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

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Filed: October 25, 1994  
Page 3

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date(s) provided below.

Assignor: RICHARD J. GREGORY

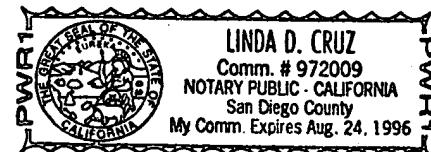
Richard J. Gregory  
Signature

STATE OF California )  
COUNTY OF San Diego )

On November 23, 1994, before me, Linda D. Cruz, Notary Public personally appeared Richard J. Gregory,  personally known to me - OR  proved to me on the basis of satisfactory evidence- to be the person(s) whose name(s)  is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Linda D. Cruz  
(Signature of Notary)



Assignor: KEN N. WILLS

Ken N. Wills  
Signature

STATE OF California )  
COUNTY OF San Diego )

On November 23, 1994, before me, Linda D. Cruz, Notary Public personally appeared Ken N. Wills,  personally known to me - OR  proved to me on the basis of satisfactory evidence- to be the person(s) whose name(s)  is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Linda D. Cruz  
(Signature of Notary)



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Serial No.: 08/328,673  
Filed: October 25, 1994  
Page 4

Assignor: DANIEL C. MANEVAL

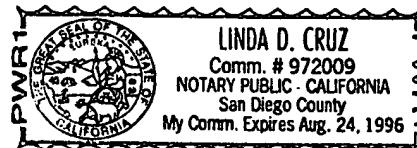
Daniel C. Maneval

STATE OF California )  
COUNTY OF San Diego )

On November 23, 1994, before me, Linda D. Cruz, Notary Public  
personally appeared Daniel C. Maneval,  
 personally known to me — OR  proved to me on the basis of  
satisfactory evidence to be the person(s) whose name(s)  is/are  
subscribed to the within instrument and acknowledged to me that  
he/she/they executed the same in his/her/their authorized  
capacity(ies), and that by his/her/their signature() on the  
instrument the person(s), or the entity upon behalf of which the  
person() acted, executed the instrument.

WITNESS by hand and official seal.

Linda D. Cruz  
(Signature of Notary)



ASSIGNMENT  
P-CJ 1192  
Serial No.: 08/328,673

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, Attn: Application Processing Division, on February 8, 1995.

By Paul C Steinhardt  
Paul C. Steinhardt Reg. No. 30,806  
2/8/95  
Date of Signature